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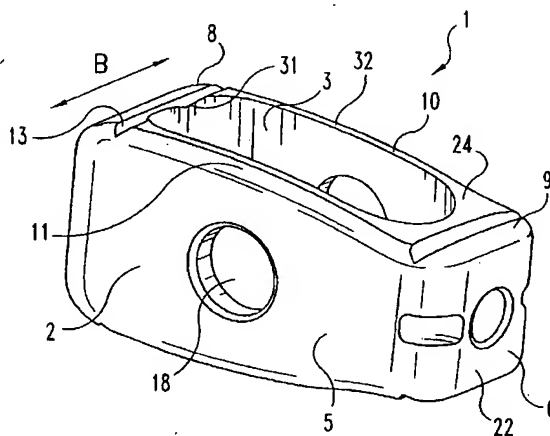
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(54) Title: VERTEBRAL IMPLANT FOR PROMOTING ARTHRODESIS OF THE SPINE



(57) Abstract: This invention provides a vertebral spacer (1, 80) for impaction in a disc space to restore and/or maintain desired disc space height and spinal orientation. The spacer has an elongated basis body (2, 82) having a generally lens-shape provided by convex upper and lower surfaces (24, 26, 86, and 87). Bearing surfaces (8, 8', 9, 9', 98 and 100) are provided on the cross-edge surfaces of the endwalls (6, 7, 94 and 96). Grooves (16, 16' and 104) are provided in the upper and lower surfaces positioned between the bearing surfaces. The spacer (1, 80) can be prepared from a wide variety of materials including metallic materials, synthetic materials, polymeric materials, ceramic materials, and composite materials including reinforced materials i.e. glass, fiber, and/or carbon fiber reinforced materials (CFRP). These preferred materials for fabricating spacers in the present invention reduce costs, increase service life and provide excellent physiological compatibility. The non-metallic material can be selected to be either a substantially permanent material, a biodegradable material or a bioerodable material. Further, the spacer material can be provided to be radio opaque to facilitate monitoring of bone in growth both into the spacer and between the opposing endplates of the adjacent vertebrae.

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## VERTEBRAL IMPLANT FOR PROMOTING ARTHRODESIS OF THE SPINE

CROSS-REFERENCE TO RELATED APPLICATIONS

The present application claims the benefit of German Utility Model  
5 Application 200 04 693.4, filed on March 14, 2000, which is hereby incorporated  
by reference in its entirety.

TECHNICAL FIELD

This invention relates to an intervertebral spacer for treatment of spinal  
10 deformities. More preferably, this invention is directed to a metallic or synthetic,  
intervertebral spacer for implantation into a prepared disc space to facilitate spinal  
fusion, maintain desired disc space height, and/or spinal orientation.

BACKGROUND

15 For degenerated, diseased or otherwise damaged spinal columns and  
vertebrae, it is known to treat these defects by removal of all or a portion of the  
vertebral disk and inserting an implant such as a spinal spacer to restore normal  
disk height and spine orientation, and repair the spinal defects. When desired,  
osteogenic material also can be implanted into the intervertebral space to enhance  
20 arthrodesis, or spinal fusion between the two vertebrae adjacent to the  
intervertebral space. Selected spacers are formed to provide a cavity for receipt of  
the osteogenic material.

The spinal column can exert tremendous force on the individual vertebrae,  
and consequently also on any implant implanted in between the vertebrae. Often  
25 for defective or diseased vertebrae the bone tissue in the center of the endplate,  
where the vertebral body is normally only covered by a thin cortical bone layer, is  
weakened. The strength and integrity of the endplate may be compromised.  
Spacers inserted in between these weakened bone tissue can subside or sink into  
the vertebral body. This results in a failure to maintain the desired disc space  
30 height and causes tremendous pain to the patient.

Additionally, arthrodesis or fusion of the vertebrae adjacent is  
recommended to treat a damaged disc or diseased vertebra. Spinal implants  
typically are formed of a metal such as titanium or surgical steel. While the

selection of the implant configuration and composition can depend upon a variety of considerations, for arthrodesis it is often desirable to select a material that does not stress shield the bone ingrowth. Titanium and surgical steel provide the requisite strength to maintain correct disk space height and orientation; however, these materials have been shown to stress shield the bone. Bone and bone derived material can provide an acceptable material having the similar strength and compressibility as living bone tissue. However, suitable donor bone is scarce. Further extensive screening and sterilization must be strictly observed to minimize any risk either real or perceived for the transmission of infections from the donor to the recipient.

The following are representative of the current state of the art for the relevant technology.

A vertebral plant is described in WO-95/08306 issued to Beckers (U.S. 5,888,224). Intervertebral implant comprises an elongated body formed of a titanium or titanium alloy material and having a shape that is basically lens-shaped with a width less than its height and provided with or without an internal cavity. Implantation of the implant requires distraction of the adjacent vertebral bodies, insertion of the implant, which is then rotated about its longitudinal axis.

Another vertebral implant is described in U.S. 4,834,757 issued to Brantigan. This vertebral implant has a parallelepiped shape and comprises an outer surface completely covered with nubs or barbs that are embedded into the channel cut into the endplates.

An intervertebral implant is described in WO 96/27348 (U.S. 6,059,829) issued to Schlapfer et al.; the implant consists essentially of a frame about an internal cavity and includes longitudinal sidewalls having perforations therethrough. The frame is open without restriction on the top and bottom. The upper and lower surfaces are convex and join the longitudinal sidewalls and the two endwalls of the frame at sharp edges.

Another implant that is described in FR 7/10664 issued to Liu et al. This metallic implant has upper and lower surfaces that include paired projections extending vertically from these surfaces for cutting into and piercing the bone tissue in opposing intervertebral bodies. The upper and lower surfaces also include

pairs of opposed bearing surfaces to contact the cortical bone portion of vertebral bodies.

5 In light of the above described problems for treating spinal defects, there is a continuing need for advancements in the relative field, including treatment of damaged or diseased spinal columns, improved implants, selection of suitable materials from which the implants can be formed and methods of promoting bone fusion between the adjacent vertebra. The present invention is such an advancement and provides a wide variety of benefits and advantages.

### DISCLOSURE OF THE INVENTION

The present invention relates to intervertebral spacers, the manufacture and use thereof. Various aspects of the invention are novel, nonobvious, and provide various advantages. While the actual nature of the invention covered herein can  
5 only be determined with reference to the claims appended hereto, certain forms and features, which are characteristic of the preferred embodiment disclosed herein, are described briefly as follows.

This invention provides a intervertebral implant or spacer for implantation into a prepared disc space. The intervertebral spacer can be made of a metallic or a  
10 synthetic, non-metallic material, such as, a polymeric material, a ceramic material, or a reinforced composite. In one form, this intervertebral spacer comprises an elongate body having an internal cavity. The cavity can serve as a depot for osteogenic material or spongioseum bone material to facilitate the spinal fusion of the vertebral bodies adjacent to the disc space. The spacer body is bordered by two  
15 longitudinal walls or sidewalls and by two frontal or endwalls located opposite one another. Upper and lower surfaces extend laterally between the longitudinal walls. The upper and lower surfaces include openings into the inner cavity. Crosswise-extending edge surfaces of the endwalls and longitudinal walls surface define contact surfaces or bearing surfaces.

20 In one preferred embodiment the opposite frontal walls or endwalls are formed to be thicker than the pair of opposite longitudinal walls, thereby widening the cross-edge extending surfaces. The cross-edge surfaces are preferably formed as integral parts of the opposing endwalls.

In another form the present invention provides an intervertebral spacer  
25 provided for implantation into a disc space between adjacent vertebra. The spacer comprises an elongate body defining a longitudinal axis and at least one tissue-receiving groove extending transverse to the longitudinal axis. The spacer comprises: also includes: a cavity bounded by a first endwall and an opposite second endwall the first endwall defining a first bearing surface and an opposite  
30 second bearing surface and the second endwall defining a third bearing surface and an opposite fourth bearing surface; an upper surface extending between the first endwall and the second endwall, the upper surface having an arcuate portion

adapted to bear against an inferior endplate of a first vertebra; and an opposite lower surface extending between the first endwall and the second endwall, the lower surface having an arcuate portion adapted to bear against a superior endplate of a second vertebrae. In preferred embodiments the first and second endwall had  
5 a first thickness measured along the longitudinal axis that is thicker than either the thickness of the longitudinal walls or the thickness of the upper and lower surfaces.

In another form, the present invention provides a spacer for promoting fusion between adjacent vertebra. The spacer comprises: an elongate body defining a longitudinal axis and having an opening extending therethrough  
10 transverse to the longitudinal axis. The body comprises: a first supporting endwall terminating the body on a first end; an opposite second supporting endwall terminating the body on a second end, the first and second supporting endwalls positioned substantially transverse to the longitudinal axis and adapted to bear against cortical bone tissue in opposing endplates of the adjacent vertebrae, a first  
15 sidewall and an opposite second sidewall interconnecting the first supporting wall and the second supporting wall, wherein the body includes at least one tissue receiving groove extending from the first sidewall to the second sidewall.

The preferred embodiments the intervertebral spacer includes endwalls that are thicker than the longitudinal walls. The endwalls terminate in cross-edge or  
20 peripheral surfaces extending transverse to the longitudinal walls. The peripheral surfaces are wider than the cross-edge surfaces of the longitudinal walls. The peripheral surfaces provide wide contact or supporting surfaces for bearing against the cortical portions of the adjacent vertebral bodies such as found in and about the cortical ring or the apophyseal ring structure. Cortical bone tissue is either harder  
25 and/or denser than the cancellous bone or spongiosum tissue that is found in the interior of the vertebrae. The harder cortical bone tissue provides sufficient strength to transmit the biomechanical forces exerted on the spinal column to the spacer. The wide bearing surfaces or contact surfaces of the spacer can withstand the biomechanical forces and inhibit subsidence of the implant into the vertebral  
30 body. This provides a spacer that can safely and durably support the spinal column during normal and/or recommended patient activity.

The longitudinal walls of the spacer can be narrow in a cross-sectional dimension, measured transverse to the longitudinal axis. The spacer can be fabricated to minimize the thickness of the longitudinal walls, yet still provide requisite compressive strength to maintain desired disc space height and orientation. This provides a spacer having an enlarged cavity compared to implant having thicker longitudinal walls. The larger cavity is capable of receiving a greater amount of osteogenic material. This, in turn, provides increased success rate for spinal fusion and ultimately provides a stronger more stable bone bridge between the adjacent vertebra.

Since the wide, edge contact or bearing surface of the spacer support the majority of the biochemical forces exerted by the spinal column, it is possible to remove a portion of the cortical tissue from the endplates of the vertebra to reveal the cancellous bone tissue or spongiosum tissue. The implant can be placed within the disc space and provide intimate contact of the osteogenic material within the cavity and the exposed bone tissue of the opposing vertebrae. This provides the advantages of promoting arthrodesis of the vertebrae.

Further, an integral implant design can be provided with minimal or no projections or protrusions extending from its exterior surfaces. In one embodiment, the outer surfaces of the endwalls are provided with a rounded shape or rounded-over edges. Additionally, the edges where the longitudinal walls meet the upper and lower surfaces can be chamfered, beveled or rounded-over. These edges provide advantages in that the resulting spacer can be simply installed into the prepared disc space without danger of any projections, quarters, edges or the like tearing or gouging the surrounding tissue and therefore minimizes unintentional injuries of the adjacent tissue.

The spacer has an interior cavity to serve as a depot for osteogenic material. It is desirable to provide a large internal cavity to obtain a large bone bridge or new bone growth between the adjacent vertebra. In one form the cavity can be provided to have a shape that generally corresponds to that of the external surface of the spacer. Thus in one form, the basic interior shape of the cavity is also a box-shaped and its dimensions are similar to that of the outer dimensions of the body. Alternatively, the cavity has a height that varies along the longitudinal axis. For



wedge shaped spacers, the internal dimensions of the cavity can also provide a wedge shaped hollow interior. Similarly, when the exterior profile of the spacer is generally lens-shaped the interior chamber can be lens-shaped as well. The interior cavity can be made larger in the present invention by decreasing the thickness of either or both of the longitudinal walls and the upper and lower surface walls. This can be accomplished by providing the endwalls of substantial thickness to support the biomechanical stress exerted by the spinal column. In another form, the height of the cavity is substantially consistent along the longitudinal direction to facilitate uniform loading of an osteogenic material in the cavity. In still another form, the cross-sectional area of the cavity, measured in a plane lying substantially transverse to the longitudinal walls is equal to or greater than the cross-sectional area of either of the openings in the upper and lower surfaces of the spacer.

The spacer can also be provided efficiently, economically and readily mass produced while maintaining high quality assurance over very specification tolerances for the outside dimensions, and compressive and elastic moduli. The number of steps necessary for the production of the spacer are significantly reduced--particularly the machining processes and milling procedures are reduced. The compact design of the implant often makes it possible to provide the spacer body in a variety of materials including metallic materials, synthetic materials, polymeric materials, ceramic materials, and composite materials including reinforced materials i.e. glass, fiber, and/or carbon fiber reinforced materials (CFRP). These preferred materials for fabricating spacers in the present invention reduce costs, increase service life and provide excellent physiological compatibility. The material can be selected to be either a substantially permanent material, a biodegradable material or a bioerodable material. Further, the spacer material can be provided to be radio-opaque to facilitate monitoring of bone ingrowth both into the implant and between the opposing endplates of the adjacent vertebrae.

The basis body includes at least one groove. Preferably at least one groove is formed on each of the cross-wise extending surfaces of the endwalls. The groove can extend transverse across the entire width direction of the spacer body. The groove can be readily formed as an integral feature on the implant body. The

groove can provide sufficient resistance to expulsion and/or migration in the disc space. Further, the groove does not obstruct impaction of the spacer into the disc space. The groove including its upper edges lies either coplanar with, or below the exterior surface(s) of the spacer and extends inwardly. After implantation of the spacer into the prepared a disc space, the spacer can be maintained in the desired position by the pressure exerted on it by the spinal column. Tissue is forced into the grooves. The bone material fills the grooves of the spacer and helps secure the spacer's position in the disc space. The grooves can also engage bony tissue proximal to the apophyseal ring under the compressive biomechanical force exerted by the spinal column on the contact surfaces of the implant.

Additionally, the outer surface of the spacer can, but is not required to include anti-expulsion structures or features. Such features include ridges, and the like. These features can be provided either through a milling and/or machining process or through the molding of the spacer. The anti-expulsion features inhibit rejection of the implant from the disc space and/or inhibit undesirable migration within the disc space. The outer surface of the implant as a whole can be roughened, by which the surrounding tissue interengages or mechanically interlocks the roughen outer surface of the spacer.

The longitudinal walls of the spacer are preferably formed to be substantially planar and parallel to each other. This provides significant advantages regarding the installations of the implant into the disc space as will be discusses below. The thickness of the longitudinal walls can be selected to be substantially less than the thickness of the endwalls. For example the thickness of the longitudinal walls measure transverse to the longitudinal axis can be one-half the thickness of the endwalls measured substantially parallel to the longitudinal axis. More preferably the thickness of the longitudinal walls is between about 0.5 and 0.4 times as thick as the thickness of the endwalls. The ratio of the thickness of the other longitudinal walls to the endwalls provides a spacer having an ample interior cavity to receive the osteogenic material and yet provides a spacer that is able to support the mechanical load exerted from the spinal column.

In selected the embodiments, the spacer can be provided to have a generally convex shape. This can be accomplished by providing the longitudinal walls with

upper and lower edges having a convex shape. The upper and lower surfaces of the longitudinal walls can have a maximum height that is positioned between the first and second endwalls. In one form, the convex surface portion can be provided to engage in the natural concavity surface portions of the opposing endplates. This shape has the advantage that the spacer can be readily fitted to the respective vertebral endplates without any previous machining or cutting process on the endplates.

In other embodiments the longitudinal walls define a lordotic profile. In this configuration the longitudinal walls are shaped to provide a spacer that conforms to the desired lordosis or natural curvature of the spine.

Both the upper and lower surfaces define openings into the inner cavity. These openings ensure that the osteogenic material in the internal cavity contacts the bone tissue in the opposing vertebral endplates. Preferably the upper and lower surfaces provide an opening that is substantially the same or equivalent to the cross-sectional area of the internal cavity. This provides the greatest amount of contact between the included osteogenic material and the opposing endplates.

Preferably an opening is formed in each of the two longitudinal walls to provide access to the interior cavity. This also allows blood and nutrients to infuse laterally into the cavity containing an osteogenic material. Further the lateral openings allow the osteogenic material to enhance bone growth around the spacer between pairs of adjacent spacers in the disc space to facilitate spine fusion from all sides, laterally and vertically. In preferred embodiments the longitudinal walls each have at least one large opening into the interior cavity. It is understood that a plurality of smaller openings each opening providing access to the interior chamber can also be formed in the longitudinal walls. Preferably the each of small openings have a diameter, which in comparison with the height of the longitudinal walls, is small. Preferably a maximum of two large through holes are formed to each of the longitudinal walls. The diameter of each of the two large through holes corresponds to approximately to half of the height of the longitudinal walls.

The spacer body preferably includes on its rear endwall, a tool-engaging portion, for example provided on an end of a spacer holder. Preferably the tool-engaging portion comprises an outwardly opening or a bore formed in the middle

of the rear endwall of the body. The opening can, but is not required to, include a threaded interior to receive a correspondingly threaded pin or stud on the tool. The tool-engaging portion can also include a pair of opposing grooves formed laterally besides the opening. The opening is positioned in line with the laterally extended  
5 grooves. In preferred forms, the rear wall is substantially free of any further projections and or shoulders. The counterparts portions on the tool can also include a mid-position pin as well as outwardly extending blades to engage the laterally grooves formed in the endwall of the spacer. The pin can engages within the opening in the endwall and can be used to fixedly secure the spacer to the tool  
10 and facilitate alignment of the spacer during insertion. Alternatively, the tool can include a pair of opposing arms that can open and close to clamp or grip the spacer either along a portion of the opposing longitudinal walls or along the upper and lower surfaces. Preferably the tool engaging portion is formed such that the spacer can be tightly attached to the tool in a relatively simple manner so that the tool can  
15 be used both as spacer holding tool as well as impacting spacer into the disc space.

The vertebral implant according to the present invention preferably is provided with the following mechanical properties:

- static compressive resistance in height direction, transverse to the longitudinal axis: greater than or equal to about 15,000N
- 20 - fatigue strength corresponding to this compressive resistance: greater than or equal to about 5,000 N
- torsional resistance (torque in(around) longitudinal direction of the implant: greater than or equal to about 4Nm

The above-described spacers can be prepared of a wide variety of materials  
25 including metallic materials, synthetic, organic materials, composites, ceramic, and metal. Preferably the implants are formed of a synthetic, non-metallic material. The implants of the present invention can be either essentially permanent implants, which do not readily biodegrade. These implants can remain in the intervertebral space and often are incorporated into the bony tissue. Alternatively, the implant  
30 can biodegrade and are substantially replaced by bone tissue.

Examples of non-biodegradable polymeric or oligomeric materials include the, polyacrylates, polyethers, polyketones, polyurethanes, and copolymers, alloys

and blends thereof. Use of the term co-polymers is intended to include within the scope of the invention polymers formed of two or more unique monomeric repeating units. Such co-polymers can include random copolymers, graft copolymers, block copolymers, radial block, diblock, triblock copolymers, alternating co-polymers, and periodic co-polymers. Specific examples of non-biodegradable polymeric materials include: poly(vinyl chloride) (PVC); poly(methyl (meth)acrylate); acrylics; polyamides; polycarbonates; polyesters; polyethylene terephthalate; polysulfones; polyolefins, i.e. polyethylene, polypropylene, and UHMWPE (ultra high molecular weight polyethylene); polyurethane; polyethers, i.e., epoxides; poly(ether ketones) (PEK), poly(ether ether ketones) (PEEK), poly(aryl ether ketones) (PAEK), and poly(ether ether ketone ether ketone) (PEEKEK). A wide variety of suitable poly(ether-co-ketone) materials are commercially available.

Alternatively, implants of this invention can be made of a material that either biodegrades or is bioabsorbed. Typically, biodegradable material is a polymeric material or oligomeric material and often the monomers are joined via an amide linkage such as is observed in poly(amino acids). When the implant is formed of material that biodegrades, it is desirable to provide a biodegradable material that degrades at a rate comparable to the bony ingrowth characteristic of bone fusion--often referred to as creeping substitution. It is still more preferred to select the biodegradable material to remain *in situ* and capable of providing sufficient biomechanical support for the spine even after a bone bridge has grown and formed through the through-holes of the implant. Selecting an appropriate synthetic material can vary the biodegradation rate of the implant. The degradation rate of the selected material can be further modified, for example, increasing the degree of polymerization and/or increasing the amount of crosslinking between the polymer chains can decrease the degradation rate. Further, it is not intended to limit the preferred materials to substances that are partly or totally reabsorbed within the body. Rather substances that can be broken down and eventually flushed from the body are also intended to come within the scope of this invention.

Examples of biodegradable polymers for use with this invention include poly(amino acids), polyanhydrides, polycaprolactones, polyorthoesters poly(lactic

acid, poly(lactide-co-glycolide), i.e., copolymers of lactic acid and glycolic acid, including either D, L and D/L isomers of these components. One example of a preferred biodegradable polymer for use with this invention is a copolymer of 70:30 poly(L, DL) lactate commercially available from Boehringer Ingelheim.

5 A particularly advantageous benefit provided by this invention is the ease of manufacturing suitable synthetic spacer. Spacers formed of polymeric, oligomeric and composite material can be manufactured using known fabricating techniques, including extrusion, injection and blow molding processes. In addition, selected polymeric materials are provided by suppliers in a form that can readily formed,  
10 and/or molded, usually at an elevated temperature. A copolymer of D/L lactate is one specific example. This material can be obtained in a wide variety of forms including pellets or granules, sheets, ingots. The material can be molded at a temperature of about 55°C or higher to provide a desired shaped and sized implant. The material can be repeatedly heated and contoured without any significant  
15 change in its material or chemical properties. In addition, material is readily cut using a cautery to readily conform the spacer to the configuration of the bone surfaces. The lower cautery temperature even permits cutting or shaping of the material during the operation.

Examples of metallic materials include any of the metals and metals alloys  
20 known to be suitable for implant in animals, including humans. Specific examples include titanium, titanium alloys, and surgical steel.

Specific examples of ceramic materials for use with this invention include glass, calcium phosphate, alumina, zirconia, apatite, hydroxyapatite and mixtures of these materials.

25 Composites are also useful with this invention. Composites can combine two or more of the desired materials to form a spacer body for implantation. Examples of composites include reinforced ceramic, glass or polymeric materials. Preferred composites include a fiber-reinforced material such as a glass or carbon fiber reinforced organic polymer.

30 Carbon fiber vertebral spacer of this invention can be prepared according to the following method. A fiber composite material such as a glass or a carbon fiber

composite material (GFRP or CFRP, respectively) is first soaked with a liquid plastic, in particular a resin material such as epoxy resin. The soaked fibers are wound around the winding mandrel as bunched fibers, in particular, using a filament winding method. Subsequently the plastic is cured; this is best done by a controlled temperature treatment. The winding mandrel is advantageously formed to have a simple rod-like shape, and, consequently, the resulting elongated basis body provided with a cavity having dimensions and configuration corresponding to the exterior configuration of the winding mandrel. Since the end measurements of the cavity are already formed by the winding mandrel, little if any machining is required on the inner surfaces of the limiting walls surrounding the cavity. The soaked fiber material is wound around the winding mandrel until the resulting body has external dimensions that are about the same or slightly smaller than the desired final dimensions. Preferably the soaked fibers are wound about the mandrel until the resulting body has reached at least a selected minimum wall thickness for the thickest wall, i.e., the endwalls to minimize subsequent machining steps.

The wound spacer body is machined according to following steps. The winding mandrel is replaced with a receiving mandrel to center the basis body into a chucking device for accurate machining. The exterior of the basis body is machined to an intermediate configuration that has exterior dimensions smaller than the desired final dimensions. Preferably each surface of the intermediate configuration is smaller than the final configuration by approximately the same amount. That is, the basis body is machined to have an intermediate configuration that substantially corresponds to the final configuration, only as a scaled down version. The intermediate configure is a scaled down version so that as little material as possible needs to be machined during the final machining step. Preferably, the winding/machining steps provide an intermediate spacer that has external dimensions of about 90-98%, more preferably 95%, of the outer measurements of the final basis body.

It should be understood that since in the final configuration some walls are thicker than other walls, the basis body is not be wound to have the final measurements on every exterior surface. Selected surfaces require that additional

plastic material be wound about to the mandrel. Milling, grinding and/or polishing methods are possible methods of machining. During the prior machining steps, the different wall thickness of the longitudinal and the frontal walls are preset into the spacer body by corresponding machining from the selected outer sides of the basis body.

In the next step, additional fibers are soaked with resin and wound around the machined, intermediate basis body to build the sides to the desired thickness. The resulting basis body approaches the desired exterior dimensions. That is, fiber and resin are material is wound around the basis body until the latter has reached at least the exterior measurements for each surface dimensions of the implant are reached. The second winding step is performed after the winding mandrel has been re-inserted. In the last step the basis body is wherein it reaches its end outer dimensions. With respect to performance material, fibers and resin, this second machining step corresponds to the first machining step.

This method provides a closed course of fibers at both the inner and outer surfaces of the spacer, and taking full advantage of the strength increasing properties of the fiber material. A completely closed, unadulterated course of fibers is achieved at the inner surfaces defining the cavity, since these surfaces are not machined after the winding process. Since two winding steps are use to fabricate the basis body, only a little amount of material remains to be removed from the basis body after the second machining step. In this final machining step very few, if any, of the fibers in the outer fiber winding are cut. The integrity of the fiber windings is maintained largely intact

Optionally, the basis body is, in addition to the cavity, is provided with holes and grooves by corresponding machining steps after the second winding procedure and after the plastic has been cured. In the vertebral implant according to the present invention these are the through holes in the longitudinal and frontal walls as well as the grooves of the receiving means and the grooves in the crosswise extending free edge surfaces of the frontal walls.

This method provides a highly stable vertebral implant from fiber-reinforced material at low costs. In addition, the fibers can be orientated to wind in a single direction or optionally in varying directions.



Alternatively, the implant formed of a fiber composite material can be prepared using a pultrusion method by saturating individual fibers or bundles of fibers with a resin, for example one the polymeric materials described above, and pulling the resin saturated fibers through a die to provide the profile of the desired implant. The resulting implant can be machined as described above to provide the final configuration including a threaded exterior, chamfer surfaces and openings. Implants prepared according the pultrusion method generally have fibers orientated in the same direction, for example either in an axial direction or longitudinal direction.

In yet another method the fiber reinforced composite can be prepared using chopped fibers (or short fibers) that have been embedded within a curable resin, for example one or more of the polymers described above. The chopped fiber reinforced material can be cured, molded and/or extruded according to techniques known in the art.

The osteogenic compositions used in this invention can be harvested from other locations in the patent, for example from the cancellous bone in the vertebrae or from other bone structures, such as the iliac crest. In other embodiments, the osteogenic material can comprise a therapeutically effective amount of a bone morphogenetic protein in a pharmaceutically acceptable carrier.

The preferred osteoinductive factors include, but are not limited to, the recombinant human bone morphogenetic proteins (rhBMPs) because they are available in unlimited supply and do not transmit infectious diseases. Most preferably, the bone morphogenetic protein is a rhBMP-2, rhBMP-4 or heterodimers thereof. The concentration of rhBMP-2 is generally between about 0.4 mg/ml to about 1.5 mg/ml, preferably near 1.5 mg/ml. However, any bone morphogenetic protein is contemplated including bone morphogenetic proteins designated as BMP-1 through BMP-13. BMPs are available from Genetics Institute, Inc., Cambridge, Massachusetts and may also be prepared by one skilled in the art as described in U.S. Patent Nos. 5,187,076 to Wozney et al.; 5,366,875 to Wozney et al.; 4,877,864 to Wang et al.; 5,108,922 to Wang et al.; 5,116,738 to Wang et al.; 5,013,649 to Wang et al.; 5,106,748 to Wozney et al.; and PCT Patent Nos. WO93/00432 to Wozney et al.; WO94/26893 to Celeste et al.; and

WO94/26892 to Celeste et al. All osteoinductive factors are contemplated whether obtained as above or isolated from bone. Methods for isolating bone morphogenic protein from bone are described in U.S. Patent No. 4,294,753 to Urist and Urist et al., 81 PNAS 371, 1984.

5       The choice of carrier material for the osteogenic material is based on the application desired, biocompatibility, biodegradability, and interface properties. The bone growth inducing composition can be introduced into the pores of the bone material in any suitable manner. For example, the composition may be injected into the spacer cavity. The osteogenic factor, preferably a BMP, may be  
10       provided in freeze-dried form and reconstituted in a pharmaceutically acceptable liquid or gel carrier such as sterile water, physiological saline or any other suitable carrier. The carrier may be any suitable medium capable of delivering the proteins to the implant. Preferably the medium is supplemented with a buffer solution as is known in the art. In one specific embodiment of the invention, rhBMP-2 is  
15       suspended or admixed in a carrier, such as, water, saline, liquid collagen or injectable bicalcium phosphate. In a most preferred embodiment, BMP is applied to the pores of the graft and then lyophilized or freeze-dried. The graft-BMP composition can then be frozen for storage and transport. Alternatively, the osteoinductive protein can be added at the time of surgery.

20       Other osteoinductive protein carriers are available to deliver proteins. Potential carriers include calcium sulphates, polylactic acids, polyanhydrides, collagen, calcium phosphates, polymeric acrylic esters and demineralized bone. The carrier may be any suitable carrier capable of delivering the proteins. Most preferably, the carrier is capable of being eventually resorbed into the body. One  
25       preferred carrier is an absorbable collagen sponge marketed by Integra LifeSciences Corporation under the trade name Helistat® Absorbable Collagen Hemostatic Agent. Another preferred carrier is an open cell polylactic acid polymer (OPLA). Other potential matrices for the compositions may be biodegradable and chemically defined calcium sulfates, calcium phosphates such  
30       as tricalcium phosphate (TCP) and hydroxyapatite (HA) and including injectable bicalcium phosphates (BCP), and polyanhydrides. Other potential materials are

biodegradable and biologically derived, such as bone or dermal collagen. Further matrices are comprised of pure proteins or extracellular matrix components. The osteoinductive material may also be an admixture of BMP and a polymeric acrylic ester carrier, such as polymethylmethacrylic.

- 5           One carrier is a biphasic calcium phosphate ceramic.
- Hydroxyapatite/tricalcium phosphate ceramics are preferred because of their desirable bioactive properties and degradation rates in vivo. The preferred ratio of hydroxyapatite to tricalcium phosphate is between about 1:99 and about 65:35. Any size or shape ceramic carrier, which will fit into the cavity defined in the
- 10           spacer is contemplated. Ceramic blocks are commercially available from Sofamor Danek Group, B. P. 4-62180 Rang-du-Fliers, France and Bioland, 132 Route d'Espagne, 31100 Toulouse, France. Of course, rectangular and other suitable shapes are contemplated. The osteoinductive factor is introduced into the carrier in any suitable manner. For example, the carrier may be soaked in a solution
- 15           containing the factor.

- In order to prevent a sliding of the hand tool off the spacer, the receiving means on the rear wall is advantageously formed such that it also serves as a holding means. The hand tool, can for instance, be provided with a clamp, by means of which the spacer can be held tight, e.g. by engagement into the grooves,
- 20           so that the spacer is connected with the hand tool as a joined unit and can be readily manipulated by the surgeon to precisely place the spacer in the desired position in the disc space. In order to determine the position of the spacer with respect to the hand tool even more precisely, the opening in the spacer can extend through the rear wall and aligned with a second through hole formed through the
- 25           opposite frontal wall on the opposite end of the spacer. A corresponding pin or shaft on the hand tool can be extended through the first through hole and into the second through hole thereby centering the spacer on the hand tool. The frontal side through hole enhances access to the spacer cavity to facilitate ingrowth of tissue into the spacer from its front side.

- 30           The intervertebral spacer according to the present invention can be implanted into prepared disc space from a variety of orientations or directions including posteriorly, lateral posteriorly, anteriorly, and lateral anteriorly. The disc

space is prepared prior to implantation of the space. The typically a partial or full discectomy is performed. The endplates are preferentially cut to expose the cancellous bony tissue. Preferably only portions of the endplates that will be directly opposite the openings in the implanted spacers are cut or scraped to expose the underlying cancellous bone tissue. This preserves as much integrity of the endplates as possible to minimize the implanted spacer's subsidence into the endplate tissue. (Scrapers/ chisels suitable for use in this invention are discussed in U.S. Patent Application Serial No. 09/420,622 entitled "Spinal Implant Method and Cutting Tool Preparation Accessory For Mounting the Implant" filed on October 10, 1999, which is incorporated by reference in its entirety.) The upper and lower surfaces of each of the longitudinal walls can engage the uncut portions of the endplates while the bearing surfaces of the spacer bear against the thicker cortical bone tissue proximal to the apophyseal ring. The preparation can, but is not required to, include cutting the cortical bone in the endplates to provide an opening into the interior of the disc space, as well as, cutting or removing portions of the cortical bone tissue of the endplates to expose the cancellous bone tissue. More preferably the spacer is inserted into the disc space without trimming portions of the cortical rim. If needed the vertebrae are distracted to provide sufficient clearance between the opposing cortical rims of the adjacent vertebrae for insertion of the spacers. Thereafter the intervertebral spacer is inserted into the prepared disc space such that the upper and lower surfaces contact the respective opposing endplates while the openings in the upper and lower surfaces are opposite the cut portions of the endplates. The bearing surfaces are adjacent to the interior surfaces of the cortical rim around the vertebral bodies. After the spacers have been inserted in the disc space, if need or desired, the vertebral bodies can be compressed toward each other to decrease the disc space. The disc space compression can embed one or more of the spacer's surfaces into the cortical bone of the endplate. In one embodiment, the upper and lower surfaces of the spacer are embedded in the previously uncut portions of the endplate. Additionally pedicle screws, plates and/or spinal rods or any other known fixation devices and techniques can be used to maintain disc space separation and spinal orientation.

The present invention contemplates modifications as would occur to those skilled in the art. It is also contemplated that processes embodied in the present invention can be altered, rearranged, substituted, deleted, duplicated, combined, or added to other processes as would occur to those skilled in the art without

5 departing from the spirit of the present invention. In addition, the various stages, steps, procedures, techniques, phases, and operations within these processes may be altered, rearranged, substituted, deleted, duplicated, or combined as would occur to those skilled in the art. All publications, patents, and patent applications cited in this specification are herein incorporated by reference as if each individual

10 publication, patent, or patent application was specifically and individually indicated to be incorporated by reference and set forth in its entirety herein.

BRIEF DESCRIPTION OF THE FIGURES

Figure 1 is perspective view of one embodiment of an intervertebral spacer according to the present invention.

Figure 2 is an elevated side view of the spacer of Figure 1.

5 Figure 3 is an elevated end view of the intervertebral spacer of Figure 1.

Figure 4 is a cross-sectional view of the intervertebral spacer of Figure 1 taking along intersection line designated 4-4 in Figure 2.

Figure 5 is a cross-sectional view of the intervertebral spacer of Figure 1 taken along intersection line designated 5-5 in Figure 4.

10 Figure 6 is an elevated second end view of the intervertebral spacer of Figure 1.

Figure 7 is perspective view of an alternative embodiment of a spacer according to the present invention.

15 Figure 8 is a perspective view of a spacer holder for use with the present invention.

Figure 9 is perspective view of one embodiment of a cutting tool according to the present invention.

Figure 10 is a partial perspective view of the head of the cutting tool illustrated in Figure 9.

20 Figure 11 is an elevated side view in partial section illustrating a portion of the cutter of Figure 9 received within a disc space.

Figure 12 is an elevated side view in partial section illustrating a portion of the cutter of Figure 9 rotated 90° within the disc space of Figure 11.

25 Figure 13 is a stylized cross-sectional lateral view of a portion of the spinal column with an intervertebral spacer positioned between an adjacent pair of vertebra.

Figure 14 is a top view of the superior endplate of a lumbar vertebra illustration the bi-lateral placement of a pair of spacers according to the present invention.

### BEST MODE FOR CARRYING OUT THE INVENTION

For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the embodiments illustrated herein and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended. Any alterations and further modifications in the described processes, systems or devices, and any further applications of the principles of the invention as described herein, are contemplated as would normally occur to one skilled in the art to which the invention relates.

Figures 1-5 illustrate intervertebral spacer 1 according to the present invention. Spacer 1 is formed by an elongated body 2 defining a longitudinal axis. Cavity 3 is formed in body 2. Cavity 3, which is surrounded by two longitudinal walls 4, 5 and two endwalls 6, 7 (rear wall 6 and a front wall 7). Cavity 3 is formed to extend through in the height direction H of the body 2 and is provided to have in a plan projection the shape of an elongated rectangle with semi-circles being flushly added at its frontal sides. The cavity 3 has the same plan projection throughout the entire height of the implant and consequently is formed in the basis body 2 without any undercut regions. The endwalls 6, 7 are, i.e. throughout their respective entire wall surface, formed to be thicker than the longitudinal walls 4, 5. In the illustrated embodiment, the walls 6, 7 are provided to have about 2.5 times the thickness of the longitudinal limiting walls 4, 5 about cavity 3; herein the thickness in the respective center of the wall is used as the relevant wall thickness.

Body 2 comprises bearing surfaces 8, 8', 9, 9' formed by the cross-edge surfaces of the endwalls 6, 7, which edge surfaces 8, 8', 9, 9' have a larger width than the respective cross-edge surfaces 10 and 11 formed by the transverse edge surfaces of the longitudinal walls 4, 5.

The surfaces 8, 8', 9, 9' extend in a continuous way and such that they are substantially smooth surfaces, free of steps and protrusions. As is evident from Figure 13 surfaces 8, 8', 9, 9' serve as contact and/or support surfaces to the vertebral bodies W and almost completely take up the compressive forces occurring between the adjacent vertebrae W. For this, the fact that the vertebral bodies W comprise a harder, cortical bone material K in their outer regions, near

the cortical rim structure whereas a softer, cancellous or spongiouse bone material, S, exists inside the vertebral bodies W, is taken advantage of. Herein, the circumferential shell or cortical rim of the vertebral bone W consisting of a cortical bony tissue and to which the walls 6, 7 of the spacer 1 are applied via the bearing surfaces 8, 8', 9, 9' is sufficiently stable to take up the compressive force along the longitudinal direction of the of the spinal column and to transmit the compressive force to the spacer 1 proximate to bearing surfaces 8, 8', 9, 9'.

Additionally, Figure 2 illustrates body 2, viewed in its widthwise direction B (or a side view), i.e. parallel to the bearing surfaces 8, 8', 9, 9'. Upper surface 24 and lower surface 26 have a bi-convex shape in the longitudinal direction with a maximum height H provided between first end 20 and second end 22. In the illustrated embodiment, the maximum height H of body 2 is located proximate to the front edge 31 of opening 32 in upper surface 24. (See Figure 1.) The resulting lens-shaped design of the body 2 allows it to be placed inside the disc space and restore and/or maintain a desired disc space height. This configuration maintains upper and lower surfaces 24, and 26 in contact with the exposed endplates of the vertebrae. Consequently the osteogenic material in cavity 3 is pressed against the cancellous or spongiseum bone tissue of the vertebrae and facilitates arthrodesis. Additionally since body 2 matingly engages with the natural concavity of the endplates, the potential for retropulsion is minimized.

Figure 4 is a cross-sectional view along intersection line 4-4, i.e., viewed perpendicular to the bearing surfaces 8, 8', 9, 9'. Spacer 1 is provided as a substantially elongate rectangle having substantially planar longitudinal walls. Corners 12 on first end 20 of this rectangular shape are rounded to provide body 2 with a generally tapered profile on first end 20. Corners 12 have radii of about 1/3 of the width of the spacer 1. As can be observed from Figures 1 and 3, the longitudinal and frontal edges of the implant 1 are rounded resulting in a streamlined front face to ease insertion of spacer 1 into the disc space. Consequently, spacer 1 can be inserted into disc space, with minimal risk of unintended tissue injury occurring.

In an alternative embodiment the peripheral edge of the opening 32 into of the cavity 3 through upper surface 24 is not provided with a rounded over edge and



forms a sharp edge. This sharp edge poses minimal risk of tissue injury during installing the spacer exists. In contrast to the longitudinal and frontal spacer edges, peripheral edge of opening 32 it is located further inwards towards the central longitudinal axis because of the overall lens-shape of the spacer 1 tapers from a maximum height proximate to front edge 31 of opening 32 to a smaller height proximate to second end 22. Bearing surfaces 9, 9' of which, if viewed in the sideward direction of the spacer 1, then extend almost parallel to the longitudinal central axis of the spacer 1.

A grooves 13 and 13' extend transverse to the longitudinal axis in the widthwise direction B of the spacer 1. Grooves 13/13' are formed in each of the contact surfaces 8, 8', 9, 9'. Grooves 13/13' are provided to be a triangular groove or trough, the side flanks of which form an angle 28, 30 of about 90° with respect to each other; however, other shapes for the groove, such as a rectangular or a U-shape are also possible. The compressive pressure forces from the two vertebrae, W, press tissue into grooves 13/13' and provide additional anchoring of the spacer 1 in the disc space.

A receiving means 14 for a hand or an operation tool is provided at the rear end of the basis body 2 (depicted as the right end Figures 1, 2, 4, 5 and 7). The receiving means 14 comprises a through hole 15 formed in the middle of the rear wall 7. Through hole 15 can be provided to engage a corresponding portion on the hand tool. Grooves 16, 16' in second end 22 extend laterally from through hole 15 to engage in blades on the hand tool.

A second through hole 17 aligned to the hole 15 is formed in the middle of the first end 20 of the basis body 2. A corresponding counterpart pen extension or stud of the hand tool can be engaged into (and through) through hole 17 for centering the spacer 1 with respect to the tool. The through hole 17 and the hole 15 subsequently enable growth of bone material into the spacer from the front and rear sides.

Two apertures 18, 19 are formed in each of the longitudinal walls 4, 5 of the cavity 3 in the embodiment according to Figure 1. In alternative embodiments, two or more openings are formed in each longitudinal wall 4, 5. The diameter of each of the through holes 18, 19 corresponds to about half of the maximum height

H of spacer 1. Bone material can grow in a lateral direction into through holes 18, 19 to anchor spacer 1 in the disc space.

Figure 7 is a perspective view of another embodiment of a spacer 80 for use in the present invention. Spacer 80, similar to spacer 1 comprises an elongate basis  
5 body 82 defining a longitudinal axis 84. Basis body 82 has a generally bi-convex , lens-shape profile defined by lower surface 86 and upper surface 87. Upper and lower surfaces 86, 87 have a plurality of grooves 104. Additionally, basis body 82 includes an internal cavity 88 surrounded by first and second longitudinal walls 90 and 92, respectively, and endwalls 94 and 96. Endwalls 94 and 96 have a  
10 thickness measured generally along the longitudinal axis that is greater than the thickness of either first or second longitudinal wall 90, 92. Further endwalls 94 and 96 each included cross-edge surfaces defining bearing surfaces 98, 98' 100, and 100'.

A plurality of grooves 104 extend across bearing surfaces 98, 98' 100 and  
15 100'. Additionally, but not required, selected grooves can extend across upper and lower surfaces 86, 87 orthogonal to longitudinal axis. The selected grooves are interrupted by the peripheral edge 108 of opening 110 into cavity 88. Otherwise grooves 104 extend laterally across basis body 82 from longitudinal wall 90 to longitudinal wall 92. Grooves 104 can be provided as swales cut in to lower and  
20 upper surfaces 86 and 87. Additionally grooves 104 define a uniform curvature cut in to the upper and lower surfaces 86 and 87. Grooves 104 are provided to be substantially wider between lands 106 than the depth of the groove below surfaces 86 or 87.

In the illustrated embodiment, pairs or adjacent grooves 107 and 109 are  
25 separated by lands 106. Lands 106 are provided to be substantially co-planar with the upper surface 86 and lower surface 87.

Basis body 80 also includes a smooth bore 112 formed in endwall 96. Smooth bore 112 can use to locate an insertion instrument prior to grasping longitudinal wall 90 and 92.

30 Figure 8 is a perspective view of one embodiment of a spacer holder 150 for use in this invention. Spacer holder 150 includes an elongate shaft 152 having

a first end 154 adapted to receive a handle and an opposite second end 156 provided with a head 158 for securing a vertebral spacer.

Head 158 includes a spacer-securing portion 160 to secure an end of a spacer (not shown). Securing portion 160 is a generally concave surface or a U-shaped surface. A bottom portion 162 is provided as either a planar surface or slightly convex surface to bear against an endwall of the spacer. Projecting longitudinally from bottom portion 162 are a pair of opposing wings 164 and 166, spaced from each other a distance selected to engage opposite longitudinal wall of an included spacer. Bottom portion 162 and wings 164, 166 define a U-shaped cavity 167 adapted to matingly engage a first end and a portion or the lateral sides of a spacer. Bottom portion 162 in combination with wings 164, 166 engage a spacer on three sides to cradle the spacer in head 158 and control lateral the lateral motion of the spacer during implantation into the disc space.

A pair of blades 168, 170 extend inwardly into cavity 167. One blade 168/170 is provided to protrude radially internally from each wing 164, 166. Blades 168 and 170 are provided to engage in grooves 16, 16' of spacer 1. It will be understood that blades 168 and 170 can be eliminated from portion 160 to secure alternative embodiments of spacers according to the present invention.

Centering pin 172 projects into U-shaped cavity 167 from bottom portion 162. In the illustrated embodiment, centering pin 172 includes a tube 174 having a movable shaft extension 176 received therein. Either or both tube 174 and shaft extension 176 can be provided with external threads. When provided shaft extension 176 is rotatable received within outer shaft 152 toward first end 154 where it connects or engages with a thumb screw or wheel to allow rotation to either withdraw or extend shaft extension 176 through tube 174. In one form, shaft 152 includes internal threads while shaft extension includes external thread to provide longitudinal movement of shaft 152.

Head 158 also includes a depth stop 178 projecting laterally on at least one side. Depth stop 178 is provided to contact the cortical rim of a vertebra adjacent to the disc space and arrest further movement of the attached spacer into the disc space. A surgeon can secure spacer with spacer holder 150, impact it into a disc

space, and once inside the space, position the secured spacer to a desired location in the disc space--all while the spacer remains secured to the spacer holder.

Spacers according to this invention may be inserted into an intervertebral space after preparation of the endplates of adjacent vertebrae using cutting tool 180, which  
5 will now be described with reference to Figures 9-12. Cutting tool 180 includes a cutting head 182, shaft 184 defining a longitudinal axis 186, and handle-engaging portion 188.

Cutting head 182 is attached to the distal end of shaft 184. Cutting head 182 includes a first arm 190 and a second arm 192 extending generally parallel to  
10 longitudinal axis 186. Opposed first arm 190 and second arm 192 include two generally smooth, longitudinal faces 202 and 204. Faces 202 and 204 are configured to facilitate insertion of cutting head 182 into the intervertebral space, and are generally separated from each other by a distance D. Distance D is selected to be substantially the same as the width of opening 3 in spacer 1 or opening 88 in spacer  
15 80 measured transverse to the longitudinal axis of the respective spacers.

First and second arms 190 and 192 each include first arcuate cutting edge 194 and a second opposite arcuate edge 196. Thus, cutting head 182 includes a total of four cutting edges. First cutting and second cutting edges 194 and 196, respectively, are provided in a configuration to substantially conform to arcuate upper and lower  
20 surfaces of spacers 1 and 80. Further, first and second arms 190 and 192 and their included first and second cutting edges 194 and 196 are adapted to cut and remove a portion of cortical bone tissue on opposing endplates of adjacent vertebrae V1 and V2, while substantially retaining the natural concave curvature of the endplates. The cutting edges 194 and 196 have a length L selected to avoid cutting cortical rims and  
25 preferably the anterior and posterior portions of the endplates proximal to the apophyseal ring. The cavity thus prepared with cutting tool 180 provides contact with the graft material in implant 110 and the spongy bone of the two vertebrae. The bearing surfaces of implant 110 are disposed adjacent the edges of the openings of the cortical endplates and bear against the remaining portions of the endplates to establish  
30 a strong load bearing relationship.

First arm 190 and second arm 192 are generally opposed and define a cavity 198 therebetween for receipt of bony debris generated during the cutting operation.

The bony debris collected from the cutting operation can be saved and packed in the opening 3 or 88 of spacers 1 and 80, respectively, to promote arthrodesis. Proximal end of first arm 190 and second arm 192 attach to the distal terminus of shaft 184. Opposite ends of first arm 190 and second arm 192 attach to non-cutting portion 200.

5 Non-cutting portion 200 of cutting head 182 is fixed to the distal end of first arm 190 and second arm 192. Preferably, non-cutting portion 200 has a first dimension transverse to the longitudinal axis substantially the same as distance D to be generally co-extensive with faces 202 and 204 of arms 194 and 196. Non-cutting portion 200 also is adapted to align faces 202 and 204 an equal distance from opposed  
10 endplate surfaces of adjacent vertebrae to facilitate removal of equal amounts of cortical bone tissue from adjacent vertebrae. Further, non-cutting portion 200 is adapted to inhibit removal of cortical bone from the anterior cortical bone surfaces of adjacent vertebrae. While the non-cutting portion is depicted as a cylindrical abutment, it is understood that alternative configurations are also included within this  
15 invention. Such alternative configurations include spherical, semispherical, frustoconical and the like.

Shaft 184 is rotatably received within sleeve 206. Sleeve 206 includes stop 208 adapted to bear against a vertebral body when the cutting edge is inserted into the intervertebral space. Preferably, stop 208 is adapted to inhibit interference with the  
20 inter-spinal processes and associated nerve bodies. In one embodiment, stop 208 is adapted to engage a single vertebral body.

Handle-engaging portion 188 is attached to the proximate end of shaft 184. Handle-engaging portion 188 is adapted to releasably engage a variety of handles known in the art (not shown) to facilitate rotation of shaft 184 and cutting head  
25 182. Alternatively, it is understood that cutting tool 180 can include a handle fixedly attached to the proximal end of shaft 184.

Various non-limiting embodiments of a spinal fixation or fusion procedure of the present invention are next described with reference to Figures 11 and 12. One procedure is characterized by: (a) Cutting the vertebrae V1 and V2 with tool 180 to  
30 prepare for implantation of spacers 1 and/or 80, and (b) Inserting spacers 1 and/or 80 between vertebral bodies V1' and V2'. Another more detailed procedure for fusing two vertebrae together is described in terms of the following procedural.

The surgeon reveals the vertebrae in need of fusion using known surgical techniques. The surgeon then separates the dural sleeve forming an extension of the bone marrow if the procedure is in the lumbar region and then carries out a discectomy to provide a space for the spacers in the disc space. If the spacers are to be inserted posteriorly, the surgeon inserts between the two vertebral bodies V1, V2 from the rear (posterior), two distracters known in the art. Distracters may be inserted laterally with respect to the cavity provided by the discectomy and then turned 90° so as to spread apart the vertebral bodies and to restore disc height. If a lordotic angle is intended, the distracters may include tapered surfaces intended to establish the desired angulation. Next, one of the distracters is removed. The surgeon then inserts cutting tool 180 between vertebral bodies V1 and V2 so that the faces 202 and 204 are in contact with the vertebral endplates as shown in Figure 11. When the cutting head 182 is correctly positioned in the central region of the cortical endplates, stop 208 abuts the outer surface of V1 or V2, and non-cutting portion 200 is proximal to the interior cortical bone wall of V1 and V2. Next, the surgeon rotates handle 188, causing cutting head 182 to rotate about longitudinal axis 186. Typically, the surgeon rotates handle 188 through only a partial rotation to engage cutting edges 194 and 196 with the cortical bone of the adjacent endplates and then changes direction to generate an oscillating cutting action. Cutting action continues until the desired or proper amount of vertebral endplate is removed. When non-cutting portion 200 is correctly positioned between interior cortical bone portions of adjacent vertebrae V1 and V2, first cutting edge 194 and second cutting edge 196 cut equally through endplates 244 and 246. This cuts an opening into both vertebral endplates 244 and 246 gouging out a depression that is a concave both in the anterior to posterior direction and in the lateral direction. In preferred embodiments, the maximum lateral dimensions of the opening is selected to be equal to the opening 3 in spacer 1 or opening 88 in spacer 80. Remaining portions of endplates 246 and 248 bear against non-cutting portion 200 and non-rotating shaft 206. Bony debris generated by the cutting of cortical bone is received in cavity 198 between first arm 190 and second arm 192. Then, the surgeon withdraws cutting tool 180 from the intervertebral space. Bony debris residing in cavity 198 can then be collected and packed inside spacer 1 or 80. The surgeon then implants spacer 1 (or spacer 80), previously filled with either osteogenic

material or bony debris, between endplates 244 and 246 from the posterior of vertebral bodies V1 and V2. Spacer 1 is positioned such that arcuate upper surface 24 and lower surface 26 engage adjacent to cut portions of endplates 244 and 246, while remaining uncut portions adjacent to the cortical rim of endplates 244 and 246 bear  
5 against bearing surfaces 8, 8', 9 and 9'. The surgeon then removes the second distracter and repeats the preceding sequences to mount a second spacer 1 (or 80) by placing it in position generally parallel to the first spacer 1.

Figure 13 illustrates a cross-section lateral view of a portion of the spinal column with spacer 1 positioned between adjacent vertebrae  $W^2$  and  $W^3$ . It can be  
10 observed from the Figure that spacer 1 snugly fits inside the disc space. Upper surface 24 and lower surface 26 contact the opposing endplates substantially along their entire longitudinal length. Bearing surfaces 8, 8', 9 and 9' bear against and support the apophyseal ring structure of the individual vertebrae.

Figure 14 illustrates the bi-lateral placement of a pair of spacers 1 and 1' on  
15 a profile of a superior endplate of a lumbar vertebra 220. The longitudinal dimension illustrated by reference line 222 of spacer 1 is selected to provide a space having a sufficient length to extend across the endplate and position bearing surfaces 8, 8', 9 and 9' opposite the apophyseal ring 226.

In other embodiments, it is envisioned that the described stages may be  
20 altered, deleted, combined, repeated, or re-sequenced, as would occur to those skilled in the art. By way of a non-limiting example, the procedure according to the present invention may utilize one or more different tools to prepare the spine for fixation by the implantation of the present invention. In another example, the tools of the present invention may be utilized to prepare a surgical site for an  
25 implant.

While this invention has been illustrated and described in details and drawings and foregoing description, the same is considered to be a list and not restrictive in character, it is understood that only the preferred embodiments have been shown and described and in all changes and modifications that come within  
30 the spirit of the invention or desire to be protected.

What is claimed is:

1. A vertebral implant for installation in a disc space comprising a box-shaped, elongated basis body defining a longitudinal axis, which is provided with a cavity extending therethrough transverse to the longitudinal axis, which cavity is bordered by two longitudinal walls located opposite one another and by two frontal walls located opposite one another, whose crosswise-extending edge surfaces serve as contact surfaces between the vertebrae and the vertebral implant, wherein the two frontal walls of the cavity are formed thicker than both of the longitudinal walls, thereby widening their crosswise-extending edge surfaces, and wherein the implant is made of synthetic material.
2. The vertebral implant of claim 1, wherein a groove is formed in each of the crosswise-extending edge surfaces of both of the frontal walls, which groove extends transverse to the longitudinal axis of the basis body.
3. Vertebral implant of claim 1, wherein the basis body, seen in its widthwise direction, has a respectively longitudinal, externally curved shape.
4. Vertebral implant of claim 1, wherein both of the frontal walls are formed at least two times as thick as the longitudinal walls.
5. Vertebral implant according to claim 1, wherein, in each of the longitudinal walls at least one through hole is formed.
6. Vertebral implant of claim 1, wherein the basis body has receiving means on one frontal end portion for receiving a hand tool such that, with this, a rotational moment about the longitudinal axis of the basis body can be exerted on the basis body.



7. Vertebral implant of claim 6, wherein the receiving means has an outwardly open hole formed in the middle of the frontal wall of the basis body and two grooves formed to the side the hole in the frontal wall of the basis body, which  
5 hole is open toward the outside.

8. Vertebral implant of claim 7, wherein the hole of the receiving apparatus is formed as a threaded hole.

10 9. Vertebral implant of claim 1, wherein the synthetic material contains elongated carbon fibers.

10. Vertebral implant of claim 1, wherein the synthetic material contains an X-ray contrast agent.

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11. An intervertebral spacer provided for impaction into a disc space between adjacent vertebrae, said spacer defining a longitudinal axis and comprising:

20 at least one tissue-receiving groove extending transverse to said longitudinal axis;

a cavity bounded by a first endwall and an opposite second endwall said first endwall defining a first bearing surface and an opposite second bearing surface and said second endwall defining a third bearing surface and an opposite fourth bearing surface;

25 an upper surface extending between said first endwall and said second endwall and having an arcuate portion adapted to engage an inferior endplate of a first vertebra;

30 and an opposite lower surface extending between said first endwall and said second endwall and having an arcuate portion adapted to engage a superior endplate of second vertebrae.

12. The spacer of claim 11 formed of a metallic material.

13. The spacer of claim 11 formed of a synthetic organic material.
14. The spacer of claim 13 wherein the synthetic organic material  
5 comprises a biodegradable polymeric material.
15. The spacer of claim 13 wherein the synthetic organic material  
comprises a non-biodegradable polymeric material.
- 10 16. The spacer of claim 13 wherein the synthetic organic material  
comprises a reinforcing material selected from the group consisting of: continuous  
fibers, short fibers, platelets, particles and mixtures thereof.
- 15 17. The spacer of claim 13 wherein the synthetic organic material  
comprises a glass fiber, a ceramic fiber or a carbon fiber reinforced polymeric  
material.
18. The spacer of claim 13 wherein the synthetic organic material is  
selected from the group consisting of: poly(vinyl chloride); poly(methyl  
20 (meth)acrylate); polyacrylics; polyamides; polycarbonates; polyesters;  
polyethylene terephthalate; polysulfones; polyolefins; polyurethanes; polyethers,  
poly(ether ketones) poly(ether, ether ketones) poly(aryl ether ketones) poly(ether  
ether ketone ether ketone) and blends and mixtures thereof.
- 25 19. The spacer of claim 13 wherein the synthetic organic material is  
selected from the group consisting of: poly(amino acids), polyanhydrides,  
polycaprolactones, polyorthoesters polylactic acid, poly(lactide-co-glycolide) and  
blends and mixtures thereof.
- 30 20. The spacer of claim 11 formed of a ceramic material.

21. The spacer of claim 11 wherein said first and second endwall each have a first thickness measured along said longitudinal axis sufficient to maintain a desired disc space height.

5           22. The spacer of claim 21 wherein the upper surface has a third thickness measures transverse to the longitudinal axis, and wherein said third thickness is less than the first thickness.

10           23. The spacer of claim 21 wherein said body comprises a first longitudinal wall and an opposite second longitudinal wall each longitudinal wall having a second thickness measured transverse to the longitudinal axis wherein said second thickness is less than said first thickness.

15           24. The spacer of claim 11 wherein the upper surface and the lower surface each comprise at least one groove extending transverse to the longitudinal axis.

20           25. The spacer of claim 11 wherein the first bearing surface, the second bearing surface, the third bearing surface and the fourth bearing surface each include at least one groove extending transverse to the longitudinal axis.

          26. The spacer of claim 11 wherein the upper and lower surfaces comprise one or more of grooves, ridges and combinations thereof.

25           27. The spacer of claim 11 comprising a first longitudinal wall extending between the upper surface and the lower surface and an opposite second longitudinal wall extending between the upper surface and the lower surface, each of said longitudinal walls substantially planar and positioned to lie substantially parallel to each other, wherein each of said longitudinal walls join the upper and  
30 lower surfaces in a rounded-over edge.

28. A spacer for promoting fusion between adjacent vertebrae, said spacer implant comprising:

an elongate body defining a longitudinal axis and having an opening extending therethrough transverse to the longitudinal axis, said body comprising:

- 5 a first supporting endwall terminating said body on a first end;  
an opposite second supporting endwall terminating said body on a second end, said first and second supporting endwalls positioned substantially transverse to said longitudinal axis and adapted to bear against cortical bone tissue in opposing endplates of the adjacent vertebrae,  
10 a first sidewall and an opposite second sidewall interconnecting said first supporting endwall and said second supporting endwall, wherein said body includes at least one tissue receiving groove extending from the first sidewall to the second sidewall.

15 29. The spacer of claim 28 wherein the body is formed of a metallic material.

30. The spacer of claim 28 formed of synthetic organic material selected from the group consisting of: poly(vinyl chloride); poly((methyl)  
20 methacrylate); polyacrylics; polyamides; polycarbonates; polyesters; polyethylene terephthalate; polysulfones; polyolefins; polyurethanes; polyethers, poly(ether ketones) poly(ether, ether ketones) poly(aryl ether ketones) poly(ether ether ketone ether ketone) and blends and mixtures thereof.

25 31. The spacer of claim 28 formed of synthetic organic material selected from the group consisting of: poly(amino acids), polyanhydrides, polycaprolactones, polyorthoesters polylactic acid, poly(lactide-co-glycolide) and blends and mixtures thereof.

30 32. The spacer of claim 28, wherein the body is formed of a biodegradable polymeric material.

33. The spacer of claim 28, wherein the body is formed of a non-biodegradable polymeric material.

34. The spacer of claim 28, wherein the body is formed of a reinforced composite.

35. The spacer of claim 29 wherein the reinforced composite comprises a glass fiber, a ceramic fiber or a carbon fiber reinforced polymeric material.

36. The spacer of claim 28 wherein the body is formed of a ceramic material.

37. The spacer of claim 28 said first and second supporting walls each has a thickness, measured along said longitudinal axis, sufficient to maintain a desired disc space height.

38. The spacer of claim 28 wherein said first sidewall and said second sidewall each include an aperture into said opening.

39. The spacer of claim 28 wherein the first supporting wall terminates on a first end in a first tissue engaging surface and on an opposite end in a second tissue engaging surface, said first and second tissue engaging surfaces each comprising a groove extending transverse to said longitudinal axis.

40. The spacer of claim 28 wherein the first supporting endwall terminates on a first edge in a bearing surface and on an opposite edge in a second bearing surface, said first and second bearing surfaces each comprising tissue receiving groove.

41. The spacer of claim 40 wherein said first bearing surface or said second bearing surface or both are substantially planar and positioned to lie at an angle to said transverse axis.

42. The spacer of claim 40 wherein said first and second tissue engaging surfaces are adapted to matingly engage in opposing apophyseal rings portions of the adjacent vertebrae.

5

43. The spacer of claim 28 wherein the first and second sidewalls each terminate in upper arcuate edge and an opposite lower arcuate edge in a direction along said longitudinal axis.

10

44. The spacer of claim 28 wherein the first and second sidewalls define a lordotic profile.

15

45. The spacer of claim 28 comprising an upper surface and an opposite lower surface said upper surface and said lower surface extending between said first and second supporting walls.

20

46. The spacer of claim 45 wherein at least one of the upper surface or the lower surface comprises tissue engaging structures including grooves, ridges and combinations thereof.

25

47. A spacer holder for securing a spacer for impaction into a prepared disc space, said holder comprising:

an elongate shaft defining a longitudinal axis and having a first end to engage a handle, an opposite second end having a spacer securing head;

a head extending from said second end and including a concave surface defining a spacer gripping portion having a centering pin extending longitudinally from said concave surface.

30

48. The spacer holder of claim 47 wherein the concave surface includes a pair of blades extending radially inwardly.

49. The spacer holder of claim 47 wherein the concave surface comprises a shaft extendable in a direction parallel to the longitudinal axis.

50. The spacer of holder of claim 47 wherein the shaft includes external  
5 threads.

51. A method of treating a patient having a spinal deformity said method comprising:

preparing a disc space between adjacent vertebrae to receive a  
10 spinal fusion implant;  
impacting a spinal fusion implant to said disc space, said spinal fusion implant comprising elongated basis body defining a longitudinal axis and which is provided with a cavity extending transverse to the longitudinal axis for receiving bone material, which cavity is bordered by two longitudinal walls located  
15 opposite one another and by two frontal walls located opposite one another, whose crosswise-extending edge surfaces serve as contact surfaces between the vertebrae and the vertebral implant, wherein the two frontal walls of the cavity are formed thicker than both of the longitudinal walls, thereby widening their crosswise-extending edge surfaces, and wherein the implant is made of synthetic material.

20

52. A method of treating a spinal defect, said method comprising:

preparing a disc space between a pair of adjacent vertebrae;  
cutting a portion of cortical bone from an endplate of a selected  
vertebra to expose cancellous bone tissue while maintaining the apophyseal ring  
25 intact;

implanting a spacer into the disc space, said spacer having load bearing endwalls and upper and lower surfaces provided to engage cortical bone tissue adjacent to the exposed cancellous bone tissue, wherein at least one of the load bearing endwalls bear against the apophyseal ring of the vertebra.

30

53. The method of claim 52 wherein said spacer included an interior cavity, and said upper and lower surfaces each define an opening to said interior cavity.

5 54. The method of claim 53 wherein said cutting provides a concave depression having a first cross sectional area measured proximal to said endplate and said upper surface defines an opening having a second cross sectional area substantially equal to said first cross sectional area.

10 55. The method of claim 52 wherein the upper and lower surfaces define arcuate surfaces.

56. The method of claim 52 wherein the upper and lower surface each include tissue-receiving grooves.

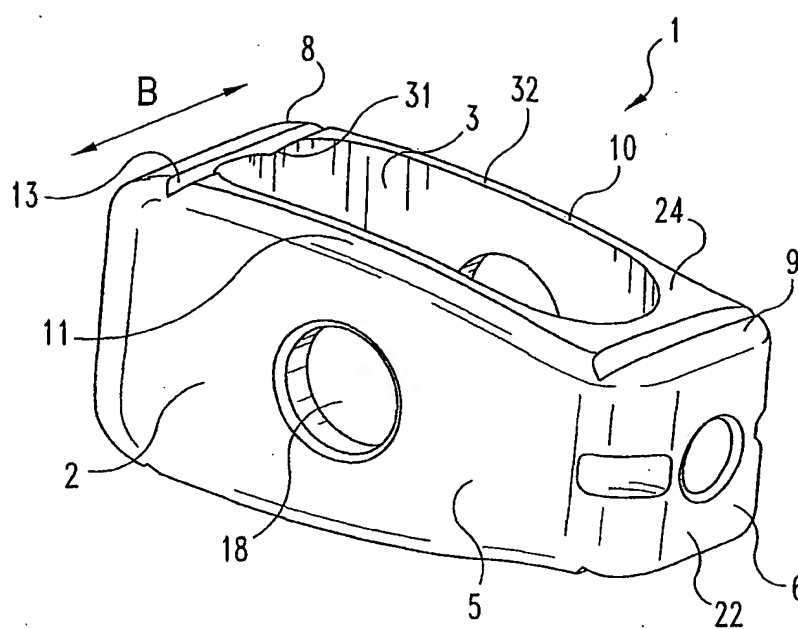
15 57. The method of claim 52 comprising compressing the adjacent vertebrae to embed said upper and lower surfaces into cortical bone tissue.

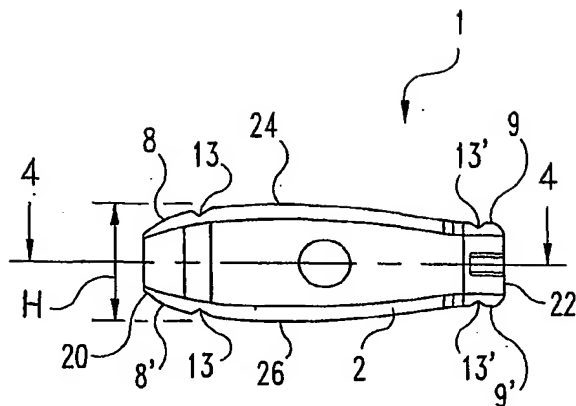
20 58. The method of claim 52 wherein said cutting includes cutting the endplates with an instrument having curved cutting edges.

59. The method of claim 52 wherein the spacer is sized so both load bearing walls bear against the apophyseal ring of the vertebra.

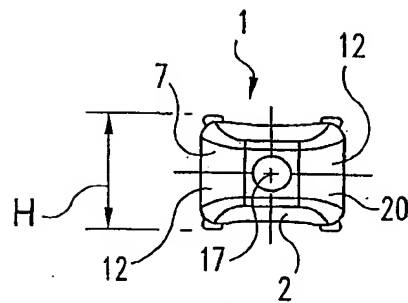
25 60. The method of claim 52 wherein said cutting includes cutting a portion of each of the opposing endplates of the adjacent vertebrae.



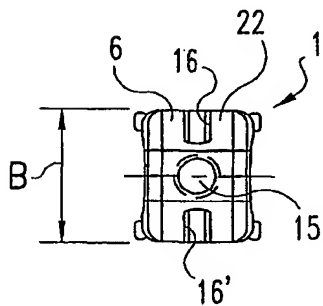
**Fig. 1**



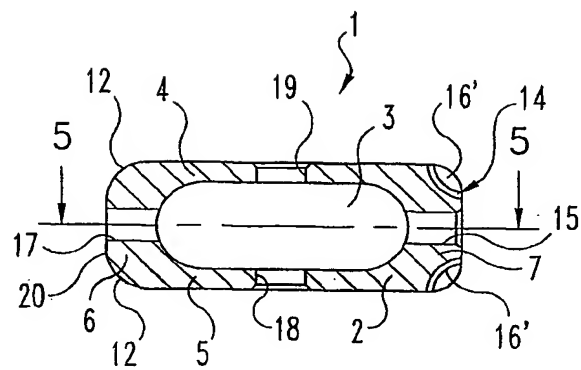
**Fig. 2**



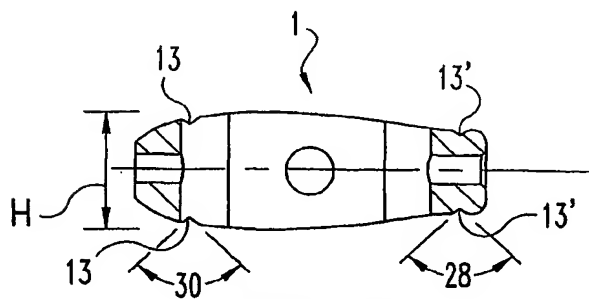
**Fig. 3**



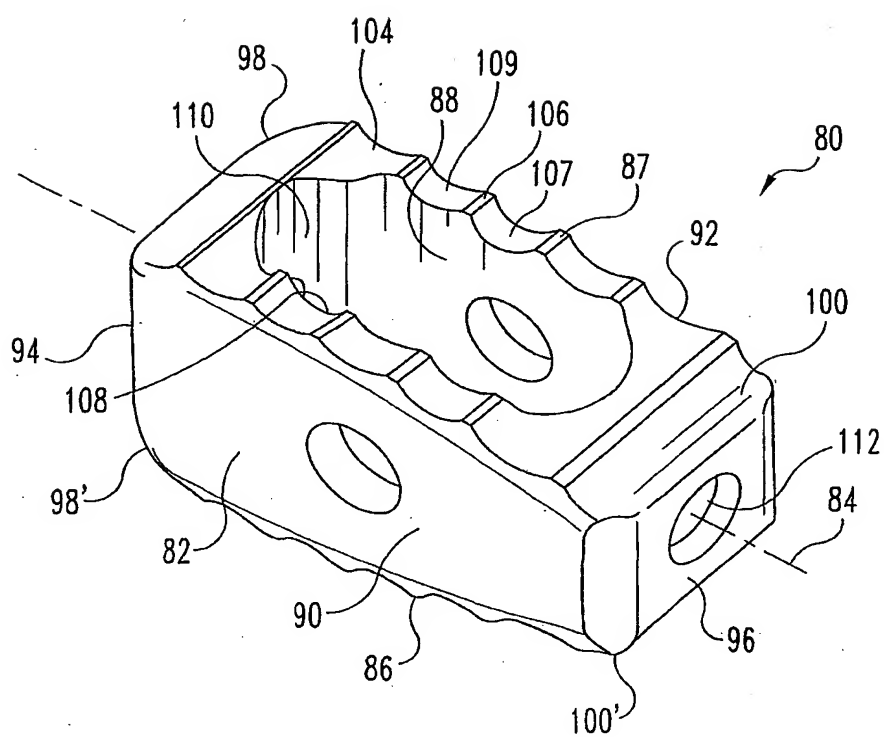
**Fig. 6**

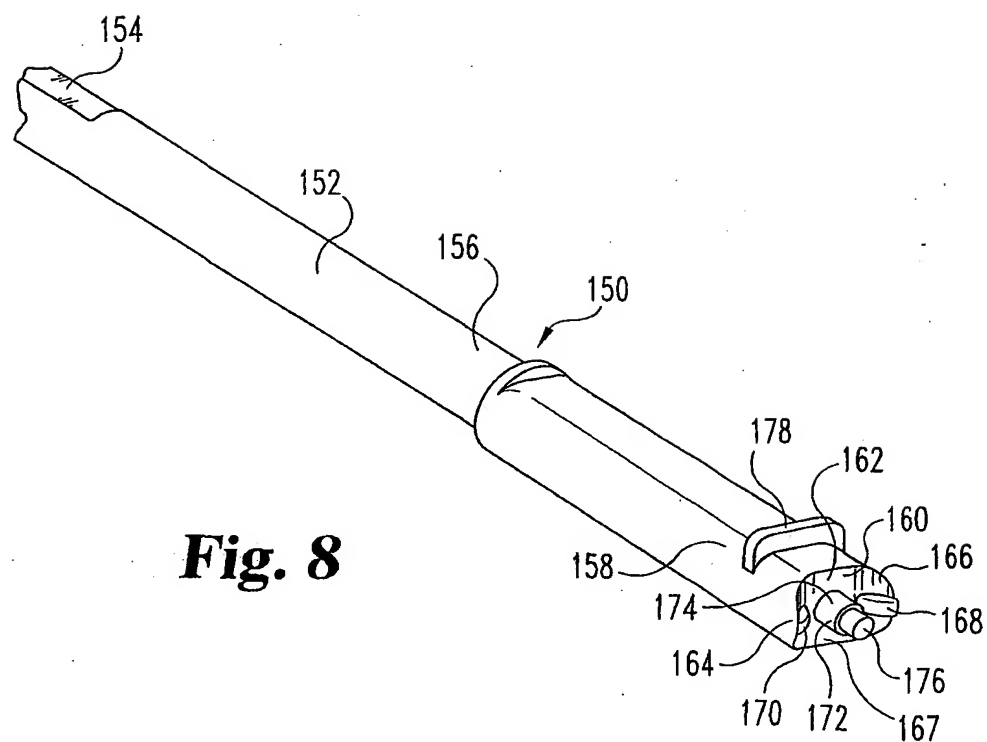


**Fig. 4**



**Fig. 5**

**Fig. 7**



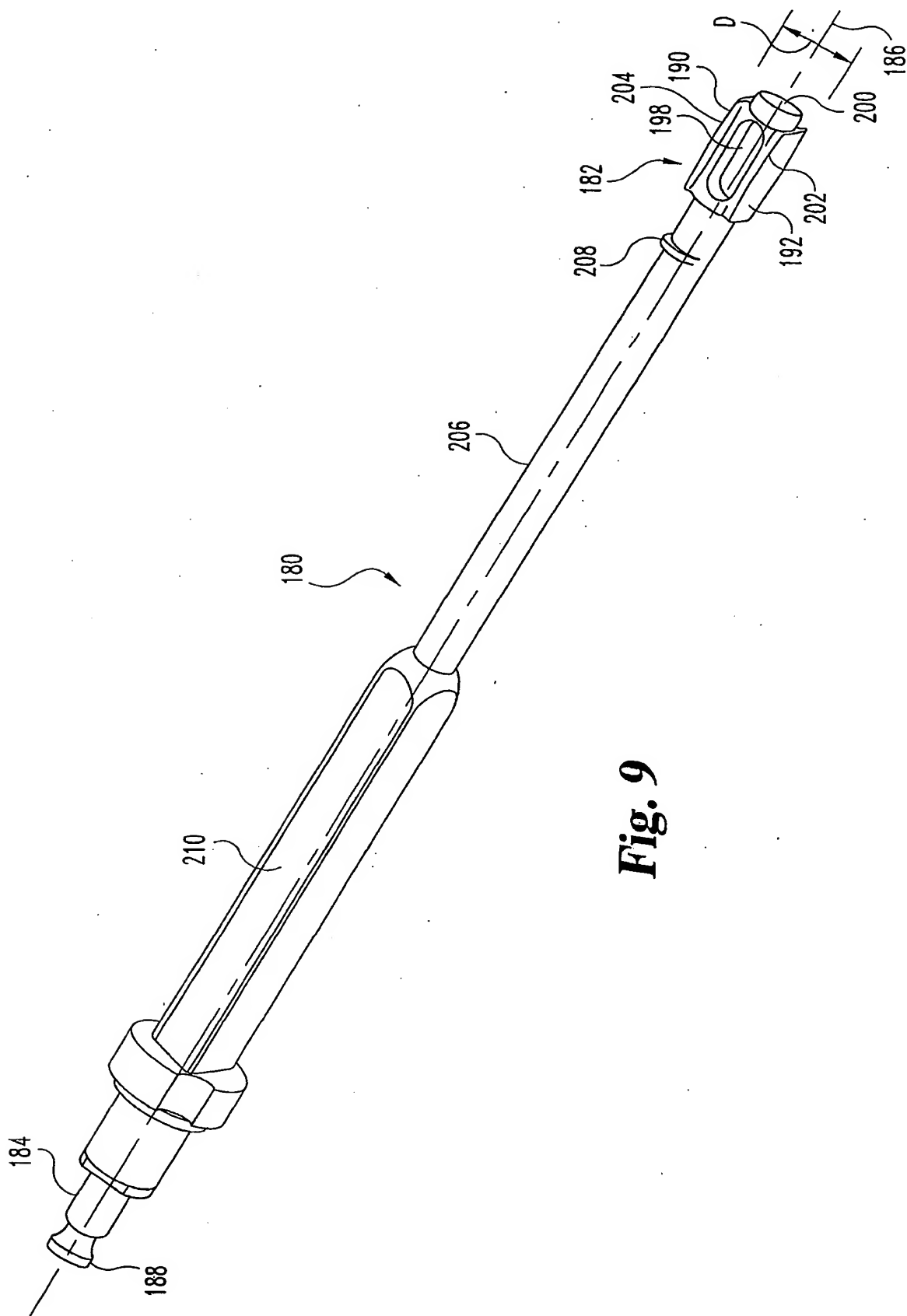
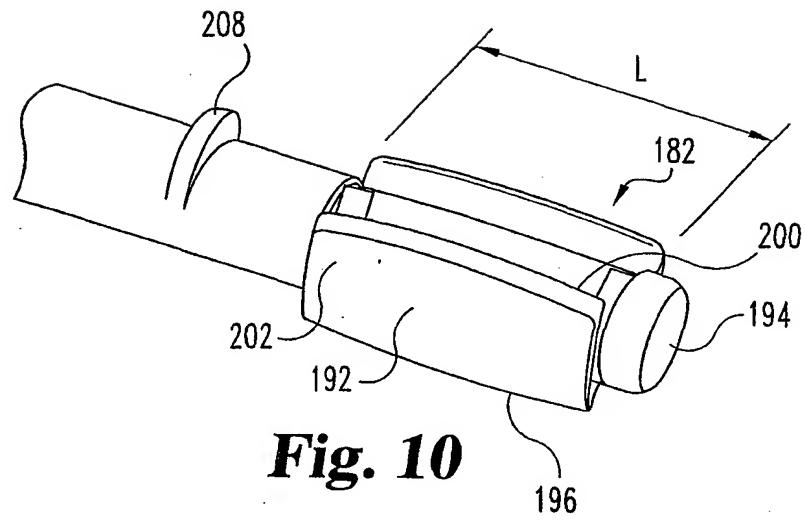
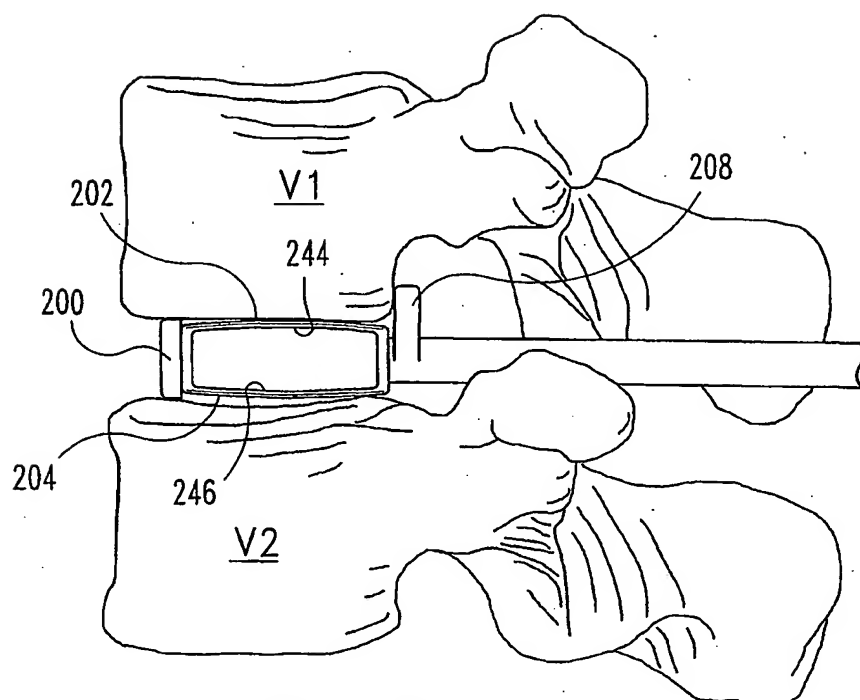
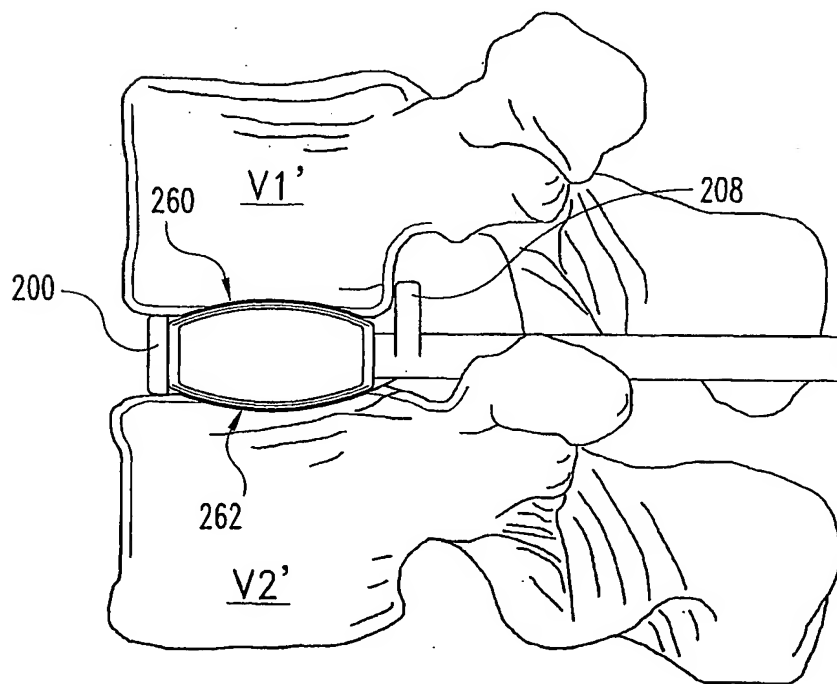


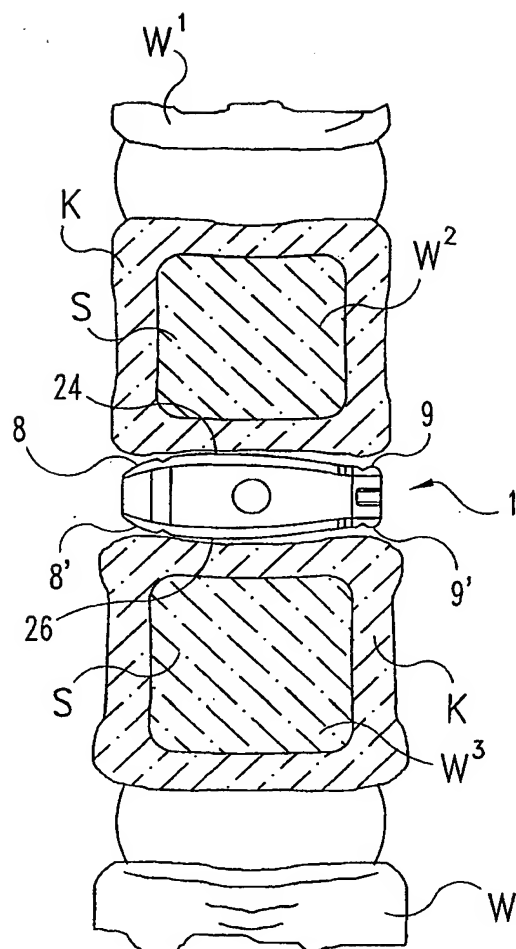
Fig. 9



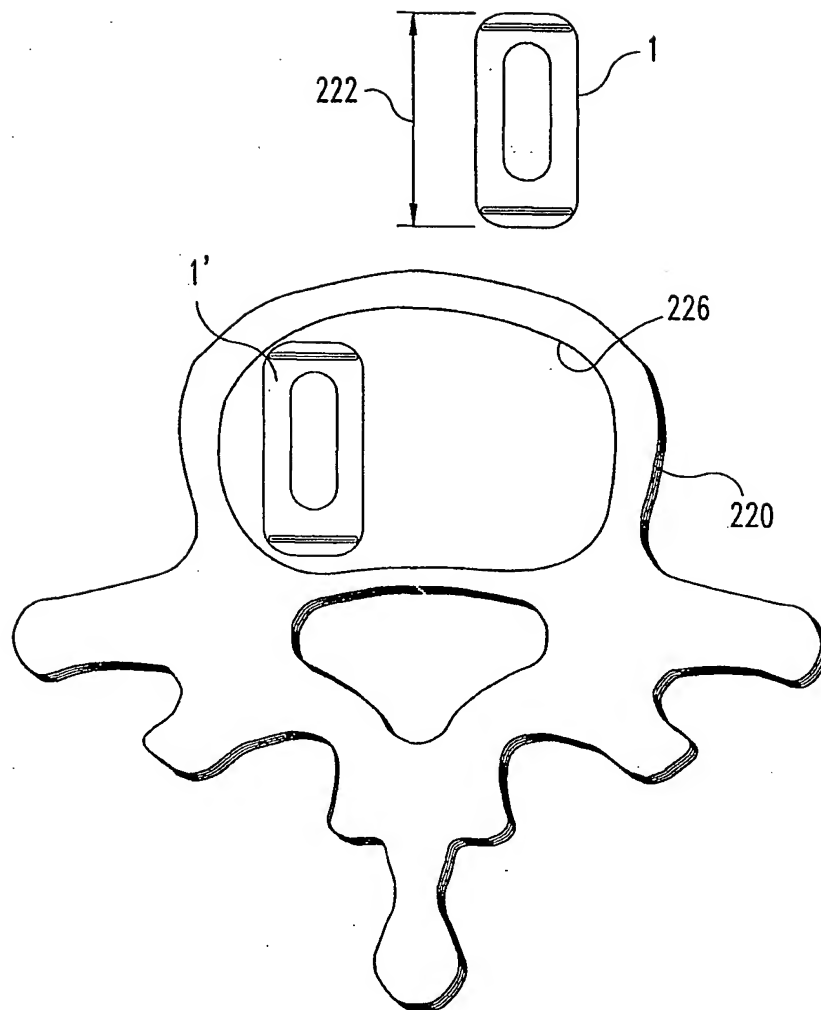
7/9

**Fig. 11****Fig. 12**

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**Fig. 13**





**Fig. 14**

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/08073

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61F2/44

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

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Date of the actual completion of the international search

8 April 2002

Date of mailing of the international search report

17. 04 2002

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/08073

## C.(Continuation) ,DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 98 09586 A (KNOTHE INGA MAREN ;BENOIT ALFRED (CH); HAEFELI THOMAS (CH); SYNTHÉ) 12 March 1998 (1998-03-12). claims 5,6	11-46
A	WO 97 14378 A (DANEK MEDICAL INC) 24 April 1997 (1997-04-24) page 10, line 13 -page 11, line 7; figure 6.	1-46
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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 01/08073

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 51-60  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-10

2. Claims: 11-46

3. Claims: 47-50

## INTERNATIONAL SEARCH REPORT

Information on patent family members

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### Information on patient family members

Internal Application No

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